

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woodruff et al.)
Serial No.:) Examiner:
Filing Date:) Art Unit:

Title: ENHANCED PREMIUM EQUITY PARTICIPATING SECURITIES

INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222

Commissioner for Patents
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Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08A. Copies of the cited references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: Oct. 3, 2003

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| Sheet | 1 | of | 1 | Attorney Docket Number | 030676 |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | |
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| | | Prospectus Supplement, Public Service Enterprise Group Incorporated (PSEG), Sept. 5, 2002. | |
| | | Prospectus Supplement, The Hartford Financial Services Group, Inc., Sept. 9, 2002 | |
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